

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37.1.53(b)

Attorney Docket No. 40518.0001

First Inventor Donal P. Inman

Title Self-Locking Power Component For
Orthodontic Appliances

Express Mail Label No. ER 806683653 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages 23 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 7 /]
5. ☐ Oath or Declaration [Total Pages 1 /]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application
ADDRESS TO: Commissioner for Patents, P.O. Box 1450
Alexandria, Virginia 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

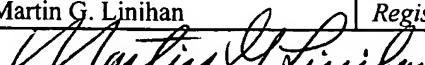
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check in the amount of \$385.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: 1

Prior application information: Examiner: _____ Group/Art Unit: _____
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 26712		<input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below			
NAME		Martin G. Linihan			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	Martin G. Linihan		Registration No. (Attorney/Agent)	24,926	
Signature			Date	April 14, 2004	

"Express Mail" Mailing Label Number ER 806683653 US

Date of Deposit April 14, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Martin G. Linihan
Name


Signature

916921 v1

**FEE TRANSMITTAL
for FY 2004**

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)385

Application Number

Filing Date

April 14, 2004

First Named Inventor

Donal P. Inman

Examiner Name

Group/Art Unit

Attorney Docket Number

40518.0001

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below☒ Charge any fee deficiencies or credit any overpayments☐ Charge any additional fees during pendency of this application.☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code Fee (\$)

Fee Description

Fee Paid

1001 770 2001 385 Utility filing fee \$385

1002 340 2002 170 Design filing fee \$

1003 530 2003 265 Plant filing fee \$

1004 770 2004 385 Reissue filing fee \$

1005 160 2005 80 Provisional filing fee \$

SUBTOTAL (1)

\$385

2. EXTRA CLAIM FEES FOR UTILITY/ REISSUEExtra Fee from
Claims below
Total Claims / / - 20** = / / x / / =

Independent Claims / / - 3** = / / x / / = \$

Multiple dependent / / x / / = \$

Large Entity Small Entity

Fee Code Fee (\$)

Fee Description

Fee Paid

1202 18 2202 9 Claims in excess of 20

1201 86 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple dependent claim if not paid

1204 86 2204 43 **Reissue independent claims over original patent

1205 18 2205 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0

SUBMITTED BY:

Martin G. Linihan

Reg. No. 74926

SIGNATURE

DATE: April 14, 2004

Telephone: (716) 848-1367

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

1051

130

2051

65

Surcharge - late filing fee or oath

\$

1052

50

2052

25

Surcharge - late provisional filing fee or cover sheet

\$

1053

130

1053

130

Non-English specification

\$

1812

2,520

1812

2,520

For filing a request for *ex parte* reexamination

\$

1804

920*

1804

920*

Requesting Publication of SIR prior to Examiner Action

\$

1805

1,840*

1805

1,840*

Requesting Publication of SIR after Examiner Action

\$

1251

110

2251

55

Extension for reply within first month

\$

1252

420

2252

210

Extension for reply within second month

\$

1253

950

2253

475

Extension for reply within third month

\$

1254

1,480

2254

740

Extension for reply within fourth month

\$

1255

2,010

2255

1,005

Extension for reply within fifth month

\$

1401

330

2401

165

Notice of Appeal

\$

1402

330

2402

165

Filing a brief in support of an appeal

\$

1403

290

2403

145

Request for oral hearing

\$

1451

1,510

1451

1,510

Petition to institute a public use proceeding

\$

1452

110

2452

55

Petition to revive - unavoidable

\$

1453

1,330

2453

665

Petition to revive - unintentional

\$

1501

1,330

2501

665

10 advance copies

\$

1502

480

2502

240

Utility issue fee (or reissue)

\$

1503

640

2503

320

Design issue fee

\$

1460

130

1460

130

Plant issue fee

\$

1460

130

1460

130

Petitions to the Commissioner

\$

1807

50

1807

50

Processing fee under 37 CFR 1.17(q)

\$

1806

180

1806

180

Submission of Information Disclosure Statement

\$

8021

40

8021

40

Recording each patent assignment per property (times number of properties)

\$

1809

770

2809

385

Filing a submission after final rejection(37 CFR 1.129(a))

\$

1810

770

2810

385

For each add'l invention to be examined(37 CFR 1.129(b))

\$

1801

770

2801

385

Request For Continued Examination (RCE)

\$

1802

900

1802

900

Request for Expedited Examination of a design application

\$

Other fee (specify)

\$

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

Express Mail Mailing Label Number ER 806683653 US

Date of Deposit April 14, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name
Martin G. Linihan

Signature

Date of Signature
April 14, 2004

BFLDOCS: 916927